

Applicant: Leonard Forbes et al.

Title: CIRCUITS WITH A TRENCH CAPACITOR HAVING MICRO-ROUGHENED SEMICONDUCTOR SURFACES

Docket No.: 303.389US2

Filed: December 20, 1999

Examiner: Eugene Lee

Customer No.: 21186

Serial No.: 09/467992

Due Date: N/A

Group Art Unit: 2815

Confirmation No.: 3099

COPY

MS Non-Fee Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450



We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ A Preliminary Amendment (11 Pages).
- ☒ 5 sheets of Formal Drawings.

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

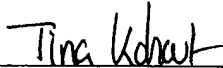
By: 

Atty: Viet V. Tong

Reg. No. 45,416

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Non-Fee Amendment, Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this 9 day of October, 2003.

Name



Signature



SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

(GENERAL)

COPY



Receipt is hereby acknowledged for the following in the United States Patent and Trademark Office:

In re Patent Application of: Leonard Forbes et al.

Title: CIRCUITS WITH A TRENCH CAPACITOR HAVING MICRO-ROUGHENED SEMICONDUCTOR SURFACES

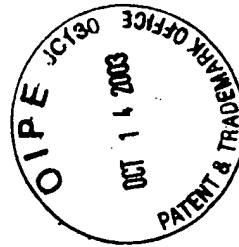
Serial No.: 09/467992

Filing Date: December 20, 1999

CONTENTS: A Preliminary Amendment (11 Pages); 5 sheets of Formal Drawings; a Return Postcard and TRANSMITTAL SHEET.

Mailed: October 9, 2003
VVT/tmk

Docket No.: 303.389US2
Due Date: N/A



S/N 09/467992

COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leonard Forbes et al.

Examiner: Eugene Lee

Serial No.: 09/467992

Group Art Unit: 2815

Filed: December 20, 1999

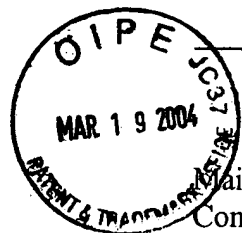
Docket No.: 303.389US2

Title: CIRCUITS WITH A TRENCH CAPACITOR HAVING MICRO-ROUGHENED
SEMICONDUCTOR SURFACES

PRELIMINARY AMENDMENT

Mail Stop AF
Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

In addition to the amendment and response to the Final Office Action that Applicant filed on July 17, 2003, please amend the application as follows:



IN THE DRAWINGS

In FIG. 1, there were two arrows below reference number 102A. Applicant has removed one of the arrows for clarity. Applicant requests an approval from the Examiner for the change in FIG. 1.